

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter Social Security numbers on this form as it may be made public.
- ▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2019

Open to Public Inspection

A For the **2019** calendar year, or tax year beginning **05/01, 2019**, and ending **04/30, 2020**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization ASSOCIATION OF THE BAR OF THE CITY OF NY			D Employer identification number 13-0453095		
	Doing Business As NEW YORK CITY BAR			E Telephone number (212) 382-6640		
	Number and street (or P.O. box if mail is not delivered to street address) Room/suite 42 WEST 44TH STREET					
	City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10036			G Gross receipts \$ 15,459,043.		
F Name and address of principal officer: BRET PARKER 42 WEST 44TH STREET, NEW YORK, NY 10036			H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
			H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No			
			If "No," attach a list. (see instructions)			
I Tax-exempt status: 501(c)(3) <input checked="" type="checkbox"/> 501(c)(6) <input type="checkbox"/> (insert no.) 4947(a)(1) or 527			J Website: WWW.NYCBAR.ORG			
K Form of organization: Corporation <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Association <input type="checkbox"/> Other <input type="checkbox"/>			L Year of formation: 1871 M State of legal domicile: NY			

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO EQUIP AND MOBILIZE THE LEGAL PROFESSION TO PRACTICE WITH EXCELLENCE, PROMOTE LAW REFORM AND UPHOLD THE RULE OF LAW AND ACCESS TO JUSTICE IN SUPPORT (SEE SCHEDULE O)	
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
	3 Number of voting members of the governing body (Part VI, line 1a)	3 22.
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4 22.
	5 Total number of individuals employed in calendar year 2019 (Part V, line 2a)	5 108.
	6 Total number of volunteers (estimate if necessary)	6 4,370.
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a 396,190.
7b Net unrelated business taxable income from Form 990-T, line 34	7b 0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 47,165. Current Year 505,933.
	9 Program service revenue (Part VIII, line 2g)	13,191,807. 12,194,691.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	691,396. 419,601.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	522,320. 490,351.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	14,452,688. 13,610,576.
	Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)
14 Benefits paid to or for members (Part IX, column (A), line 4)		0. 0.
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		9,458,631. 10,447,314.
16a Professional fundraising fees (Part IX, column (A), line 11e)		0. 0.
b Total fundraising expenses (Part IX, column (D), line 25)		0.
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		6,311,998. 5,616,624.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	15,770,629. 16,068,938.	
19 Revenue less expenses. Subtract line 18 from line 12	-1,317,941. -2,458,362.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year 20,747,699. End of Year 19,324,506.
	21 Total liabilities (Part X, line 26)	5,852,269. 7,897,256.
	22 Net assets or fund balances. Subtract line 21 from line 20.	14,895,430. 11,427,250.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date
	Type or print name and title		
Paid Preparer Use Only	Print/Type preparer's name CANDICE METH	Preparer's signature	Date
	Firm's name ▶ EISNERAMPER LLP	Firm's EIN ▶ 13-1639826	Check <input type="checkbox"/> if self-employed PTIN P01306891
	Firm's address ▶ 733 THIRD AVENUE NEW YORK, NY 10017-2703	Phone no. 212-949-8700	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2019)

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III Yes No

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ including grants of \$) (Revenue \$)

CONTINUING LEGAL EDUCATION PROVIDES EDUCATION TO MEMBERS OF THE BAR TO EQUIP THEM TO BETTER SERVE THEIR CLIENTS AND THE PUBLIC. MANY OF THESE PROGRAMS ARE CONDUCTED BY THE ASSOCIATION'S COMMITTEES, WHICH INVOLVE MEMBERS PRESENTING AT PROFESSIONAL COURSES THAT ADDRESS AMONG OTHER THINGS LEGAL SKILLS AND ETHICS, THE LAW AND UPDATES IN THE LAW, PRACTICE MANAGEMENT AND THE ELIMINATION OF BIAS. TOTAL PROGRAM ATTENDANCE FOR THE YEAR WAS 20,616.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

LIBRARY SERVICES ENHANCE THE MEMBERSHIP'S AND THE PROFESSION'S ABILITY TO PROVIDE COMPETENT AND VALUABLE LEGAL SERVICES TO THE COMMUNITY BY MAKING RESEARCH MATERIAL AVAILABLE.

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

THE LEGAL REFERRAL SERVICE MATCHES THE SERVICES OF THE PROFESSION WITH THE LEGAL NEEDS OF INDIVIDUALS, BUSINESSES, AND ORGANIZATIONS, TO IMPROVE ACCESS TO JUSTICE.

4d Other program services (Describe on Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i>		X
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i>	X	
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i>		
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	X	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i>	X	
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i>		X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V.</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>	X	
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		X
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?.		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions).		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i>		X

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question number, Question text, Yes, No. Rows 22-38 covering various organizational requirements.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V []

Table with 3 columns: Question number, Question text, Yes, No. Rows 1a-1c regarding Form 1096, W-2G forms, and backup withholding rules.

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with columns for question number, description, and Yes/No response. Includes questions 2a through 16 regarding employee reporting, tax returns, business income, foreign accounts, prohibited transactions, and charitable trusts.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (22), 1b (22), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed
18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c) (3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) BRET PARKER EXECUTIVE DIRECTOR	40.00 2.00			X			325,116.	0.	8,400.	
(2) ARLENE BEIN SR. DIR. OF MEMBERSHIP & MRKT	40.00 0.				X		199,052.	0.	15,909.	
(3) THOMAS J. HALTER CHIEF ADMINISTRATIVE OFFICER	40.00 2.00			X			195,125.	0.	17,382.	
(4) MARIA CILENTI SENIOR POLICY COUNSEL	40.00 0.				X		173,267.	0.	36,753.	
(5) GEORGE WOLFF LRS EXECUTIVE DIRECTOR	40.00 0.				X		173,048.	0.	23,587.	
(6) LAUREN AXELROD SENIOR COUNSEL	40.00 0.				X		152,037.	0.	29,237.	
(7) MARTHA HARRIS CARON DIR OF CAREER DEV & COMM ENG	40.00 0.					X	138,881.	0.	35,719.	
(8) ERIC FRIEDMAN DIRECTOR OF COMMUNICATIONS	40.00 0.					X	145,022.	0.	25,638.	
(9) RICHARD TUSKE SR DIR OF LIBRARY OPERATIONS	40.00 0.					X	148,005.	0.	19,941.	
(10) KAMRAN QURESHI ITS SERVICES DIRECTOR	40.00 0.					X	116,919.	0.	35,106.	
(11) ROBERT HUBER DIRECTOR OF BUILDING OPERATION	40.00 0.					X	146,756.	0.	4,403.	
(12) ROGER JUAN MALDONADO PRESIDENT	30.00 3.00	X		X			0.	0.	0.	
(13) MUHAMMAD U. FARIDI VICE PRESIDENT (AS OF 5/21/19)	2.00 0.		X	X			0.	0.	0.	
(14) CARMELYN P. MALALIS VICE PRESIDENT (AS OF 5/21/19)	2.00 0.	X		X			0.	0.	0.	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) KAREN PATTON SEYMOUR VICE PRESIDENT (AS OF 5/21/19)	2.00 0.	X		X				0.	0.	0.
(16) MATTHEW DILLER VICE PRESIDENT (END 5/20/19)	2.00 0.	X		X				0.	0.	0.
(17) SARAH LOOMIS CAVE VICE PRESIDENT (END 5/20/19)	2.00 0.	X		X				0.	0.	0.
(18) BRAD S. KARP VICE PRESIDENT (END 5/20/19)	2.00 0.	X		X				0.	0.	0.
(19) IRA M. FEINBERG SECRETARY (AS OF 5/20/19)	2.00 0.	X		X				0.	0.	0.
(20) DAMIAN S. SCHAIBLE TREASURER (END 5/20/19)	2.00 1.00	X		X				0.	0.	0.
(21) THOMAS R. SLOME TREASURER (AS OF 5/21/19)	2.00 1.00	X		X				0.	0.	0.
(22) ANNA M. POHL SECRETARY (AS OF 5/21/19)	2.00 0.	X		X				0.	0.	0.
(23) JOSEPH M. DRAYTON BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X						0.	0.	0.
(24) BRENNAN K. DEVANEY BOARD MEMBER	2.00 0.	X						0.	0.	0.
(25) JYOTIN HAMID BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X						0.	0.	0.
1b Sub-total								1,913,228.	0.	252,075.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								1,913,228.	0.	252,075.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 16**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶ 0.**

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(26) DEVIKA KEWALRAMANI BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X					0.	0.	0.	
(27) MEI LIN KWAN-GETT BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(28) HARLAN A. LEVY BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(29) ANDREA MASLEY BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(30) REBECCA LYNN MENDEL BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(31) SANDRA PARK BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(32) MAJORIE JOAN PEERCE BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(33) N. NATHANIEL SAINT-VICTOR BOARD MEMBER	2.00 0.	X					0.	0.	0.	
(34) MARK R. SHULMAN BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X					0.	0.	0.	
(35) JUDITH M. WHITING BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X					0.	0.	0.	
(36) JEFFREY M. WINN BOARD MEMBER (AS OF 5/21/19)	2.00 0.	X					0.	0.	0.	
1b Sub-total							0.	0.	0.	
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 16

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(37) MARK W. ZENO ----- BOARD MEMBER	2.00 ----- 0.	X						0.	0.	0.
(38) MUHAMMAD U. FARIDI ----- BOARD MEMBER (END 5/21/19)	2.00 ----- 0.	X						0.	0.	0.
(39) JORDAN BACKMAN ----- BOARD MEMBER (END 5/18/19)	2.00 ----- 0.	X						0.	0.	0.
(40) PUI C. CHENG ----- BOARD MEMBER (END 5/18/19)	2.00 ----- 0.	X						0.	0.	0.
(41) ANNA M. POHL ----- BOARD MEMBER (END 5/16/19)	2.00 ----- 0.	X						0.	0.	0.
(42) EDGARDO RAMOS ----- BOARD MEMBER (END 5/20/19)	2.00 ----- 0.	X						0.	0.	0.
1b Sub-total								0.	0.	0.
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 16

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d	456,835.				
	e	Government grants (contributions) . .	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above .	1f	49,098.				
	g	Noncash contributions included in lines 1a-1f.	1g	\$				
	h	Total. Add lines 1a-1f ▶			505,933.			
	Program Service Revenue	2a	MEETING SERVICES	Business Code	722320	1,692,169.	1,346,286.	345,883.
b		MEMBERSHIP DUES & ASSESSMENTS		900099	6,470,876.	6,470,876.		
c		LEGAL REFERRAL SERVICES		900099	1,487,182.	1,487,182.		
d		CONTINUING EDUC & OTHERS		611430	2,499,132.	2,499,132.		
e		ALL OTHER		900099	45,332.	45,332.		
f		All other program service revenue						
g		Total. Add lines 2a-2f ▶			12,194,691.			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts). ▶			354,058.		354,058.	
	4	Income from investment of tax-exempt bond proceeds . ▶			0.			
	5	Royalties ▶			150,451.		150,451.	
	6a	Gross rents	6a	(i) Real	(ii) Personal			
	b	Less: rental expenses	6b					
	c	Rental income or (loss)	6c					
	d	Net rental income or (loss) ▶			0.			
	7a	Gross amount from sales of assets other than inventory	7a	(i) Securities	(ii) Other			
						1,914,010.		
	b	Less: cost or other basis and sales expenses . .	7b			1,848,467.		
	c	Gain or (loss)	7c			65,543.		
	d	Net gain or (loss) ▶			65,543.		65,543.	
8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	8a			0.			
					0.			
					0.			
b	Less: direct expenses	8b						
c	Net income or (loss) from fundraising events. ▶			0.				
9a	Gross income from gaming activities. See Part IV, line 19	9a			0.			
					0.			
					0.			
b	Less: direct expenses	9b						
c	Net income or (loss) from gaming activities. ▶			0.				
10a	Gross sales of inventory, less returns and allowances	10a			0.			
					0.			
					0.			
b	Less: cost of goods sold	10b						
c	Net income or (loss) from sales of inventory. ▶			0.				
Miscellaneous Revenue	11a	COMMITTEE FUNDS	Business Code	900099	235,374.	235,374.		
	b	PUBLICATION INCOME		541800	50,307.	50,307.		
	c	MISCELLANEOUS		900099	54,219.	54,219.		
	d	All other revenue						
	e	Total. Add lines 11a-11d ▶			339,900.			
12	Total revenue. See instructions ▶			13,610,576.	12,138,401.	396,190.	570,052.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	0.			
2 Grants and other assistance to domestic individuals. See Part IV, line 22	5,000.			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	0.			
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	1,271,432.			
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	5,713,803.			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,444,946.			
9 Other employee benefits	1,494,523.			
10 Payroll taxes	522,610.			
11 Fees for services (nonemployees):				
a Management	0.			
b Legal	10,000.			
c Accounting	61,331.			
d Lobbying	0.			
e Professional fundraising services. See Part IV, line 17.	0.			
f Investment management fees	35,081.			
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	190,820.			
12 Advertising and promotion	407,501.			
13 Office expenses	523,678.			
14 Information technology	175,805.			
15 Royalties	1,941.			
16 Occupancy	972,416.			
17 Travel	20,916.			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	376,038.			
20 Interest	59,140.			
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	906,588.			
23 Insurance	186,645.			
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a LIBRARY BOOK/DIGITAL SERVICE	888,625.			
b CLE PROGRAM EXPENSES	235,178.			
c MEETING SERVICES EXPENSES	515,077.			
d UNRELATED BUSINESS TAXES	2,750.			
e All other expenses _____	47,094.			
25 Total functional expenses. Add lines 1 through 24e	16,068,938.			
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)	0.			

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	588,248.	1	672,938.
	2 Savings and temporary cash investments	358,848.	2	544,463.
	3 Pledges and grants receivable, net	0.	3	0.
	4 Accounts receivable, net.	757,312.	4	838,769.
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0.	5	0.
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B).	0.	6	0.
	7 Notes and loans receivable, net	0.	7	0.
	8 Inventories for sale or use	0.	8	0.
	9 Prepaid expenses and deferred charges	289,963.	9	291,804.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 25,151,128.		
	b Less: accumulated depreciation	10b 17,166,532.	8,401,282.	10c 7,984,596.
	11 Investments - publicly traded securities.	10,352,046.	11	8,991,936.
	12 Investments - other securities. See Part IV, line 11	0.	12	0.
	13 Investments - program-related. See Part IV, line 11.	0.	13	0.
	14 Intangible assets	0.	14	0.
	15 Other assets. See Part IV, line 11	0.	15	0.
16 Total assets. Add lines 1 through 15 (must equal line 33)	20,747,699.	16	19,324,506.	
Liabilities	17 Accounts payable and accrued expenses	1,843,055.	17	1,637,665.
	18 Grants payable	0.	18	0.
	19 Deferred revenue.	1,215,043.	19	849,999.
	20 Tax-exempt bond liabilities.	0.	20	0.
	21 Escrow or custodial account liability. Complete Part IV of Schedule D.	0.	21	0.
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0.	22	0.
	23 Secured mortgages and notes payable to unrelated third parties	1,211,000.	23	2,476,000.
	24 Unsecured notes and loans payable to unrelated third parties.	0.	24	0.
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	1,583,171.	25	2,933,592.
	26 Total liabilities. Add lines 17 through 25.	5,852,269.	26	7,897,256.
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	12,438,846.	27	9,066,993.
	28 Net assets with donor restrictions.	2,456,584.	28	2,360,257.
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building, or equipment fund.		30	
	31 Retained earnings, endowment, accumulated income, or other funds.		31	
	32 Total net assets or fund balances	14,895,430.	32	11,427,250.
33 Total liabilities and net assets/fund balances	20,747,699.	33	19,324,506.	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	13,610,576.
2	Total expenses (must equal Part IX, column (A), line 25)	2	16,068,938.
3	Revenue less expenses. Subtract line 2 from line 1	3	-2,458,362.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	14,895,430.
5	Net unrealized gains (losses) on investments	5	-791,222.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain on Schedule O)	9	-218,596.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	11,427,250.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII.

- 1** Accounting method used to prepare the Form 990: Cash Accrual Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form **990** (2019)

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization ASSOCIATION OF THE BAR OF THE CITY OF NY	Employer identification number 13-0453095
--	--

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(6) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **ASSOCIATION OF THE BAR OF THE CITY OF NY**

Employer identification number
13-0453095

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	_____ _____ _____	\$ 456,835.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	_____ _____ _____	\$ 49,098.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **ASSOCIATION OF THE BAR OF THE CITY OF NY**

Employer identification number

13-0453095

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization ASSOCIATION OF THE BAR OF THE CITY OF NY

Employer identification number
13-0453095

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

2019

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization ASSOCIATION OF THE BAR OF THE CITY OF NY	Employer identification number 13-0453095
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$ 126,909.
- 3 Volunteer hours for political campaign activities (see instructions) 1,792.

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. ▶ \$ 24,754.
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ 24,754.
- 4 Did the filing organization file **Form 1120-POL** for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2019

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grassroots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.															
<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 50%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width: 50%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a. If zero or less, enter -0-															
i Subtract line 1f from line 1c. If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? <input type="checkbox"/> Yes <input type="checkbox"/> No															

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

Table with 3 main columns: (a) Yes/No, (b) Amount. Rows include: 1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation...; 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?; b If "Yes," enter the amount of any tax incurred under section 4912; c If "Yes," enter the amount of any tax incurred by organization managers under section 4912; d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with 3 columns: Question, Yes, No. Rows include: 1 Were substantially all (90% or more) dues received nondeductible by members?; 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?; 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

Table with 3 columns: Question, Yes, No. Rows include: 1 Dues, assessments and similar amounts from members; 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid); 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues; 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?; 5 Taxable amount of lobbying and political expenditures (see instructions)

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

Part IV Supplemental Information (continued)

SCHEDULE C - PART 1-A - LINE 1

THE ORGANIZATION DOES NOT CONSIDER ITSELF TO BE DIRECTLY OR INDIRECTLY PARTICIPATING IN POLITICAL CAMPAIGN ACTIVITIES BUT IS COMPLETING SCHEDULE C BECAUSE OF THE DECISION IN THE ASSOCIATION OF THE BAR OF THE CITY OF NEW YORK V. INTERNAL REVENUE SERVICE, 858 F. 2D 876 (1988) WHICH HELD THAT CERTAIN OF OUR JUDICIARY COMMITTEE'S ACTIVITIES CONSTITUTE PARTICIPATION IN CAMPAIGN ACTIVITIES. THE COMMITTEE EVALUATES CANDIDATES FOR JUDGESHIPS AND DISTRICT ATTORNEY IN NEW YORK CITY AND DETERMINES WHETHER EACH CANDIDATE IS QUALIFIED OR NOT QUALIFIED FOR THE OFFICE HE OR SHE SEEKS. WE DO NOT MAKE ENDORSEMENTS OR EXPRESS PREFERENCES AMONG CANDIDATES IN A GIVEN ELECTION CONTEST.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization

Employer identification number

ASSOCIATION OF THE BAR OF THE CITY OF NY

13-0453095

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Line number, Description, (a) Donor advised funds, (b) Funds and other accounts. Includes rows for total number at end of year, aggregate values, and yes/no questions about donor advisement.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Line number, Description, and Held at the End of the Tax Year. Includes rows for purpose(s) of easements, total number, acreage, and monitoring expenses.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Line number, Description, and Amount. Includes rows for art collections, revenue, and assets.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2019

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):

- a** Public exhibition
- b** Scholarly research
- c** Preservation for future generations
- d** Loan or exchange program
- e** Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	1,988,659.	4,822,836.	4,641,124.	4,472,873.	4,758,639.
b Contributions					
c Net investment earnings, gains, and losses	-107,375.	-6,533.	192,524.	178,795.	-274,839.
d Grants or scholarships					
e Other expenditures for facilities and programs		2,823,813.			
f Administrative expenses	3,685.	3,831.	10,812.	10,544.	10,927.
g End of year balance	1,877,599.	1,988,659.	4,822,836.	4,641,124.	4,472,873.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment _____ %
- b** Permanent endowment 42.0000 %
- c** Term endowment 58.0000 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
- (ii)** Related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		204,125.		204,125.
b Buildings		23,249,082.	15,967,537.	7,281,545.
c Leasehold improvements				
d Equipment		1,697,921.	1,198,995.	498,926.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				7,984,596.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) . ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) . ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ACCRUED FICA EXPENSES PAYABLE	15,665.
(3) NY SALES TAX PAYABLE	4,654.
(4) DUE TO AFFILIATES	301,723.
(5) EXCISE TAXES PAYABLE	19,231.
(6) NY MCTMT PAYABLE	696.
(7) ACCRUED PENSION PAYABLE	2,591,623.
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	2,933,592.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total revenue reported as 13,610,576.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total expenses reported as 16,068,938.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information (continued)

SCHEDULE D - PART III - LINE 1A AND LINE 4

LIBRARY BOOKS AND WORKS OF ART: THE ASSOCIATION'S LIBRARY BOOKS AND COLLECTIONS OF FINE AND DECORATIVE ARTS (THE "COLLECTION") HAVE BEEN ACQUIRED THROUGH PURCHASES AND CONTRIBUTIONS SINCE THE ASSOCIATION'S INCEPTION 150 YEARS AGO. THE ASSOCIATION'S COLLECTION IS HELD PRIMARILY FOR RESEARCH AND EDUCATIONAL PURPOSES. A PORTION OF THE LIBRARY IS COMPOSED OF IRREPLACEABLE RESEARCH MATERIALS AND RARE AND ANTIQUE BOOKS. IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES FOR THIS TYPE OF ASSET, THE COLLECTION HAS NOT BEEN REPORTED AS AN ASSET IN THE ASSOCIATION'S STATEMENTS OF FINANCIAL POSITION. PURCHASES OF COLLECTION ITEMS ARE RECORDED AS DECREASES IN NET ASSETS WITHOUT DONOR RESTRICTIONS FOR THE YEAR IN WHICH THE ITEMS WERE ACQUIRED, OR AS DECREASES IN NET ASSETS WITH DONOR RESTRICTIONS IF THE ASSETS USED TO PURCHASE THE ITEMS HAVE BEEN RESTRICTED BY DONORS.

LIBRARY COLLECTIONS ENABLE RESEARCH INTO LEGAL TOPICS, FURTHERING THE EDUCATIONAL PURPOSES OF THE ASSOCIATION.

SCHEDULE D - PART V - LINE 4

THE ENDOWMENT IS USED TO PURCHASE BOOKS AND REFERENCE MATERIALS OF THE LIBRARY, UPKEEP THE FACILITIES OF THE ASSOCIATION AND CONDUCT THE OPERATIONS TO FULFILL THE ASSOCIATION'S MISSION AS STATED IN PART III, LINE 1 OF FORM 990.

Part XIII Supplemental Information (continued)

SCHEDULE D - PART X - LINE 2

THE ASSOCIATION FOLLOWS THE PROVISION OF THE FINANCIAL ACCOUNTING STANDARDS BOARD'S (THE "FASB") ACCOUNTING STANDARDS CODIFICATION ("ASC") TOPIC 740, INCOME TAXES RELATING TO ACCOUNTING AND REPORTING FOR UNCERTAINTY IN INCOME TAXES. FOR THE ASSOCIATION, THESE PROVISIONS COULD BE APPLICABLE TO THE INCURRENCE OF UNRELATED BUSINESS TAXABLE INCOME ("UBTI") ATTRIBUTABLE TO CERTAIN OF ITS SPACE-RENTAL ACTIVITIES AND CERTAIN OF ITS PUBLICATIONS. THERE WAS NO UBIT TAX EXPENSES DURING FISCAL YEAR 2020. IN FISCAL YEAR 2019, THE ASSOCIATION ACCRUED APPROXIMATELY \$15,000, AS A LIABILITY FOR THE EXCISE TAX RELATED TO ITS ACTIVITIES. DUE TO THE ASSOCIATION'S GENERAL TAX-EXEMPT STATUS, MANAGEMENT BELIEVES ASC TOPIC 40 HAS NOT HAD, AND IS NOT ANTICIPATED TO HAVE, A MATERIAL IMPACT ON THE ASSOCIATION'S FINANCIAL STATEMENTS.

SCHEDULE D - PART XII - LINE 2D

LOSS ON UNCOLLECTIBLE ACCOUNTS RECEIVABLE OF \$218,896 PER FINANCIAL STATEMENTS.

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization

ASSOCIATION OF THE BAR OF THE CITY OF NY

Employer identification number

13-0453095

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? **4a** **4b** **4c**
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? **4b**
- c** Participate in, or receive payment from, an equity-based compensation arrangement? **4c**
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? **5a**
- b** Any related organization? **5b**
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? **6a**
- b** Any related organization? **6b**
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		
5b		
6a		
6b		
7		
8		
9		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990	
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
1	BRET PARKER EXECUTIVE DIRECTOR	(i)	325,116.	0.	0.	8,400.	0.	333,516.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
2	THOMAS J. HALTER CHIEF ADMINISTRATIVE OFFICER	(i)	195,125.	0.	0.	5,935.	11,447.	212,507.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
3	ARLENE BEIN SR. DIR. OF MEMBERSHIP & MRKT	(i)	199,052.	0.	0.	6,103.	9,806.	214,961.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
4	GEORGE WOLFF LRS EXECUTIVE DIRECTOR	(i)	173,048.	0.	0.	5,459.	18,128.	196,635.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
5	MARIA CILENTI SENIOR POLICY COUNSEL	(i)	173,267.	0.	0.	5,480.	31,273.	210,020.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
6	LAUREN AXELROD SENIOR COUNSEL	(i)	152,037.	0.	0.	174.	29,063.	181,274.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
7	MARTHA HARRIS CARON DIR OF CAREER DEV & COMM ENG	(i)	138,881.	0.	0.	4,446.	31,273.	174,600.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
8	RICHARD TUSKE SR DIR OF LIBRARY OPERATIONS	(i)	148,005.	0.	0.	4,529.	15,412.	167,946.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
9	ERIC FRIEDMAN DIRECTOR OF COMMUNICATIONS	(i)	145,022.	0.	0.	4,492.	21,146.	170,660.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
10	ROBERT HUBER DIRECTOR OF BUILDING OPERATION	(i)	146,756.	0.	0.	4,403.	0.	151,159.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
11	KAMRAN QURESHI ITS SERVICES DIRECTOR	(i)	116,919.	0.	0.	3,833.	31,273.	152,025.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
12		(i)							
		(ii)							
13		(i)							
		(ii)							
14		(i)							
		(ii)							
15		(i)							
		(ii)							
16		(i)							
		(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**SCHEDULE O
(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

Name of the organization

ASSOCIATION OF THE BAR OF THE CITY OF NY

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2019

**Open to Public
Inspection**

Employer identification number

13-0453095

PART I - LINE 7B AND LINE 19

PART I - LINE 7B

THE ASSOCIATION WILL NOT PAY UNRELATED BUSINESS INCOME TAX BECAUSE AS OF
APRIL 30, 2019, IT HAD A NET OPERATING LOSS CARRYFORWARD AVAILABLE FOR
APRIL 30, 2020.

PART I - LINE 19 (CURRENT YEAR)

THE NET LOSS OF \$2,677,678 CONSISTS OF BOTH OPERATING AND NON-OPERATING
RESULTS. MOST OF THESE ITEMS ARE NON-CASH ADJUSTMENTS TO OUR FINANCIALS,
INCLUDING DEPRECIATION, LOSS ON UNCOLLECTIBLE ACCOUNTS AND CHANGES FROM
OUR ACTUARIAL VALUATION OF PENSION COSTS.

PART III - LINE 4D - OTHER PROGRAM SERVICES

MEETING SERVICES FACILITATES MEETINGS AND ACTIVITIES THAT PROMOTE THE
EXCHANGE OF IDEAS, FACILITATE LAW REFORM AND IMPROVEMENT OF THE
PROFESSION, AND PROVIDE INFORMATION FORUMS TO THE PUBLIC. COMMITTEE
SERVICES ASSIST THE ASSOCIATION'S COMMITTEES THAT PROMOTE THE EXCHANGE OF
IDEAS, FACILITATE LAW REFORM AND THE ADMINISTRATION OF JUSTICE, AND
ENHANCE THE ETHICAL STANDARDS OF THE PROFESSION AND UNDERTAKE EDUCATIONAL
AND PUBLIC SERVICE PROGRAMS.

PART VI - LINE 4

Name of the organization ASSOCIATION OF THE BAR OF THE CITY OF NY	Employer identification number 13-0453095
--	--

THE ASSOCIATION REVISED ITS BY-LAWS TO COMPLY WITH THE N.Y.

NOT-FOR-PROFIT CORPORATION LAW AND TO UPDATE ITS PROCEDURES. MATERIAL

CHANGES CAN BE SUMMARIZED AS FOLLOWS:

- INTEGRATION OF BY-LAWS AND CONSTITUTION INTO A SINGLE BY-LAW DOCUMENT
- RE-SEQUENCING OF SECTIONS
- CHANGED NAME OF GOVERNING BODY FROM EXECUTIVE COMMITTEE TO BOARD
- CHANGED ANNUAL MEETING REQUIREMENT FROM TEN TO SIX PER YEAR
- INCREASED NUMBER OF ELECTED DIRECTORS TO A RANGE OF 16 TO 20.
- MAIL BALLOT VOTING HAS BEEN CHANGED TO PROXY AUTHORIZATION
- SPECIFIED THROUGHOUT THAT WRITTEN COMMUNICATIONS MAY BE ELECTRONIC
- PROVIDED THAT DECISIONS ABOUT REDUCTIONS OF DUES AND FEES ARE MADE BY MEMBERSHIP STAFF RATHER THAN AT THE BOARD LEVEL.
- PRESIDENT NEED NOT OBTAIN BOARD APPROVAL TO REMOVE COMMITTEE MEMBERS OR CHAIRS
- COMMITTEES MUST HOLD AT LEAST ONE MEETING PER YEAR AT THE HOUSE OF THE ASSOCIATION

PART VI, LINE 6

THE ASSOCIATION IS A PROFESSIONAL MEMBERSHIP ORGANIZATION WITH 25,615

MEMBERS AS OF APRIL 30, 2020.

PART VI - LINE 7A

THE MEMBERSHIP ELECTS THE MEMBERS OF THE EXECUTIVE COMMITTEE, WHICH IS

THE ASSOCIATION'S GOVERNING BODY.

Name of the organization ASSOCIATION OF THE BAR OF THE CITY OF NY	Employer identification number 13-0453095
--	--

PART VI - LINE 11A

THE FORM 990 AND RELATED SCHEDULES WERE CIRCULATED TO THE MEMBERS OF THE AUDIT COMMITTEE, WHICH THEN REVIEWED THE FORMS AND SCHEDULES PRIOR TO SUBMISSION TO THE EXECUTIVE COMMITTEE. THE FORM 990 AND RELATED SCHEDULES WERE THEN SUBMITTED TO THE MEMBERS OF THE EXECUTIVE COMMITTEE AND QUESTIONS AND COMMENTS FROM THE EXECUTIVE COMMITTEE MEMBERS WERE ADDRESSED PRIOR TO SUBMISSION OF THE FORM 990 AND RELATED SCHEDULES TO THE IRS.

PART VI - LINE 12C

THE POLICY IS CIRCULATED ANNUALLY TO THE NECESSARY PEOPLE, WHO ARE REQUIRED TO FILL OUT THE FORM, ACKNOWLEDGING THEY HAVE READ THE POLICY AND IDENTIFYING ANY CONFLICTS.

PART VI - LINE 15B

THE COMPENSATION AND BENEFITS COMMITTEE OF THE ASSOCIATION REVIEWED THE CONSULTANT'S SALARY STUDY FROM THE LAST SALARY STUDY, AS WELL AS COMPARABLE MARKET SALARIES AND RECOMMENDED THE SALARIES FOR THE EXECUTIVES IN SCHEDULE J.

PART XI - LINE 9

LOSS ON UNCOLLECTIBLE ACCOUNTS RECEIVABLE OF \$218,896 PER FINANCIAL STATEMENTS.

ATTACHMENT 1

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

TO EQUIP AND MOBILIZE THE LEGAL PROFESSION TO PRACTICE WITH EXCELLENCE, PROMOTE LAW REFORM AND UPHOLD THE RULE OF LAW AND ACCESS TO JUSTICE IN SUPPORT OF A FAIR SOCIETY/THE PUBLIC INTEREST IN OUR

Name of the organization ASSOCIATION OF THE BAR OF THE CITY OF NY	Employer identification number 13-0453095
--	--

ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

COMMUNITY, OUR NATION AND THROUGHOUT THE WORLD. THERE ARE 25,615 MEMBERS OF THE ASSOCIATION.

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2019

**Open to Public
Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization

ASSOCIATION OF THE BAR OF THE CITY OF NY

Employer identification number

13-0453095

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) ASSOC OF THE BAR OF THE CITY OF NY FUND 13-6003018 42 WEST 44TH STREET NEW YORK, NY 10036	SEE PART VII	NY	501(C)3	LINE 7	ABCNY		X
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2019

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to related organization(s)		X
c Gift, grant, or capital contribution from related organization(s)	X	
d Loans or loan guarantees to or for related organization(s)		X
e Loans or loan guarantees by related organization(s)		X
f Dividends from related organization(s)		X
g Sale of assets to related organization(s)		X
h Purchase of assets from related organization(s)		X
i Exchange of assets with related organization(s)		X
j Lease of facilities, equipment, or other assets to related organization(s)	X	
k Lease of facilities, equipment, or other assets from related organization(s)		X
l Performance of services or membership or fundraising solicitations for related organization(s)	X	
m Performance of services or membership or fundraising solicitations by related organization(s)		X
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	X	
o Sharing of paid employees with related organization(s)	X	
p Reimbursement paid to related organization(s) for expenses	X	
q Reimbursement paid by related organization(s) for expenses	X	
r Other transfer of cash or property to related organization(s)		X
s Other transfer of cash or property from related organization(s)		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

SCHEDULE R - PART II - COLUMN B

PRIMARY ACTIVITY: PROVIDING LEGAL SERVICES TO POOR NEW YORKERS; PROMOTING

PRO BONO SERVICES GLOBALLY; ENCOURAGING DIVERSITY IN THE PROFESSION;

ASSISTING LAWYERS WITH SUBSTANCE AND MENTAL HEALTH PROBLEMS.