

SECURITIES AND FINANCE LAW SEMINAR SERIES 2013

A Six-Part Seminar Series for Diverse Second and Third Year Students from New York & New Jersey Law Schools with Leading Legal and Compliance Practitioners in the Financial Services Industry

This six-part seminar series is designed to enhance diversity and inclusion in the securities and finance industries of traditionally underrepresented groups.*

Participants will have an opportunity to learn about the nuts and bolts and cutting edge issues in securities and finance law, explore careers in securities and finance law, and develop professional networks by meeting legal and compliance practitioners.

PROGRAM DETAILS

TOPICS COVERED DURING SIX SEMINARS:

January 25 | Overview of Securities and Finance

February 1 | Corporation Finance

March 8 | A Career as a Compliance Professional

March 22 | Investment Management

April 5 | Consumer Protection

April 19 | Enforcement

IN EACH SESSION, PARTICIPANTS WILL:

- Experience interactive and engaging lectures presented by leading practitioners (private practitioners and current and former senior regulatory officials) that will provide:
 - Perspectives on key laws and regulations
 - Unique stories and commentary
 - Career development strategies and considerations
- Network and learn from a community of practitioners

HOW TO APPLY: Interested students must submit a completed application, resume and statement of interest for consideration. To apply, please visit <http://www.nycbar.org/ASAFE>

Participants are expected to attend all Seminar Sessions and those completing all six will receive a Certificate of Completion from ASAFE. For more information, email alex@asafealliances.org
Seminars will be held at the New York City Bar Association, 42 West 44th Street, 4pm-7pm.



Participating Organizations

Consumer Financial Protection Bureau (CFPB) | Davis Polk & Wardwell LLP | Financial Industry Regulatory Authority (FINRA) | Guggenheim Partners | Morgan Stanley | Skadden, Arps, Slate, Meagher & Flom LLP

* This includes the following categories: Black or African American, Latino or Hispanic, American Indian or Alaskan Native, Asian American, Native Hawaiian or Other Pacific Islander, 2 or More Races, Women, LGBT Students, Veterans, Economically Disadvantaged Students and Students with Disabilities.