

CITY BAR CENTER FOR CLE

SECURITIES LITIGATION & ENFORCEMENT: CURRENT DEVELOPMENTS & STRATEGIES

Thursday, December 9, 2010 / 9:00 a.m. to 5:30 p.m.

The financial crisis continues to have a substantial impact on securities litigation and enforcement. While recent court decisions have dramatically altered the litigation landscape, federal and state prosecutors and regulators have undertaken new enforcement and regulatory initiatives. At this program, a faculty of highly experienced securities litigators and government enforcement officials will discuss important developments in securities litigation and enforcement, and they will offer strategies and tactics for prosecuting and defending these cases. The course will offer outside and in-house counsel, as well as other securities professionals, an overview of cutting-edge issues in securities litigation and enforcement, ranging from nuts and bolts principles to sophisticated strategic advice. Topics to be discussed will include:

- Strategies for prosecuting & defending securities class actions & derivative lawsuits
- Litigation arising from the Madoff scandal & other Ponzi schemes
- The SEC's new cooperation initiative
- Criminal investigations of alleged securities law violations
- Update on legislation that will affect securities litigation and enforcement
- Best practices & potential minefields in corporate internal investigations

And much more!

Program Chair

Lawrence J. Zweifach
Gibson, Dunn & Crutcher LLP

Faculty

Jon E. Abramczyk
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Senior Vice President
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Flom LLP

Sponsoring Association Committee: Securities Litigation Committee, Jonathan M. Plasse, Chair

Agenda

- 9:00-11:00 **Prosecuting & Defending Securities Class Actions**
Moderator: Lawrence J. Zweifach
Panelists: Maite Aquino, Michael P. Carroll, Michael F. Coyne, Salvatore J. Graziano, Dr. Marcia Kramer Mayer, Scott D. Musoff, Jonathan M. Plasse, Richard A. Rosen & Robert F. Serio
- 11:00-11:15 **Break**
- 11:15-12:15 **Corporate Governance Litigation**
Panelists: Jon E. Abramczyk & James R. Doty
- 12:15-1:15 **Lunch**
- 1:15-2:15 **Settlement Trends & Tactics**
Moderator: Gregory A. Markel
Panelists: Lucy P. Allen, Steven M. Haber, Joseph T. McLaughlin, Sherrie R. Savett & Karin A. DeMasi
- 2:15-3:15 **Corporate Internal Investigations**
Moderator: David M. Zornow
Panelists: Alan J. Brudner, Alison T. Conn, Charles J. Gambino, Scott M. Gilbert & Bruce E. Yannett
- 3:15-4:15 **SEC Enforcement Update: SEC and State Attorney General Investigations and Proceedings**
Moderator: Lawrence J. Zweifach
Panelists: George S. Canellos, Anne C. Flannery, Pierre M. Gentin, David A. Markowitz & Harry J. Weiss
- 4:15-4:30 **Break**
- 4:30-5:30 **Securities Enforcement Update: Department of Justice Investigations and Prosecutions**
Moderator: John F. Savarese
Panelists: Dane H. Butswinkas; Pamela R. Chepiga, Christopher L. Garcia, James McMahon & Breon S. Peace

California, New Jersey & New York Credit: 8.0 credits total: 7.5 professional practice & 0.5 ethics.
This program does NOT provide transitional credit to newly admitted attorneys.

Illinois Credit: 7.0 general MCLE credits.

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Thursday, December 9, 2010 / 9:00 a.m. to 5:30 p.m.

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\$410 Member \$550 Nonmember

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DVDs (includes materials):

~~\$645~~ \$545 Member ~~\$795~~ \$695 Nonmember

This discount cannot be combined with other discounts.

Materials Only (no CLE credit):

\$235 Member \$355 Nonmember

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Program Location: All programs will be held at the New York City Bar 42 West 44th Street, New York, NY 10036.

To Register: Call (212) 382-6663; fax (212) 869-4451; mail registration form to the City Bar Center for CLE, 42 West 44th Street, New York, NY 10036 or register online at www.nycbar.org.

Advance registration is advised. An additional fee of \$25 will be charged for "walk-in" registrations—those received later than 3:00 p.m. one business day prior to the program.

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